

REMARKS

I. Summary of the Office Action

Claims 1-4, 6, 8-15, 17, 19-22, 24, 26-32 and 37-62 are pending in the above-identified application.

The Examiner rejected claims 1-2, 4-8, 10-11, 13-20, 22-26, 28-29, 31-32, 37-38, 40-42, 44-45, 47-52, 54-56, 58-59 and 61-62 under 35 U.S.C. § 103(a) as being unpatentable over Minton U.S. patent No. 6,014,643 (hereinafter "Minton") in view of Robertson et al. European patent application No. 0 665 489 A2 (hereinafter "Robertson") and in further view of Potter et al. U.S. patent No. 5,787,402 (hereinafter "Potter"). The Examiner rejected claims 3, 21, 39 and 53 under 35 U.S.C. § 103(a) as being unpatentable over Minton and Robertson and in further view of Hawkins et al. U.S. patent No. 6,247,000 (hereinafter "Hawkins"). The Examiner rejected claims 9, 12, 27, 30, 43, 46, 57 and 60 under 35 U.S.C. § 103(a) as being unpatentable over Minton and Robertson and in further view of Harrington et al. U.S. patent No. 6,161,099 (hereinafter "Harrington").

II. Summary of Applicants' Response

Claims 5, 7, 16, 18, 23 and 25 have been previously canceled. Applicants have herewith cancelled claims 11-15, 20-22, 26-28, 31-36, 41, 47-48, and 51-62. Applicants therefore request that the rejection of these claims be withdrawn.

Applicants have amended claims 1-4, 6, 8-10, 17, 19, 24, 29-30, 37-40, 42-46, and 49-50, and have added claims 63-74. No new subject matter has been added and the amendments to the claims are fully supported by the application as filed. Accordingly, entry of the claim amendments and the newly added claims is respectfully requested.

For the reasons set forth below, applicants submit that independent claims 1, 19, 37 and 68 are allowable. Accordingly, claims 2-4, 6, 8-10, 17, 24, 29-30, 38-40, 42-46, 49-50, 63-67, and 69-74, which depend from the independent claims, are also allowable. Applicants therefore request that the rejections for the pending claims be withdrawn and that these claims as well as the newly added claims be allowed.

III. Applicants' Response to the Independent Claim Rejections

A. Independent Claims 1 and 68

Independent claim 1 recites, *inter alia*:

A method comprising:

receiving a trading command to trade at least one item via a first interface, the first interface displaying at least one of a bid variable and an offer variable associated with trading the item, each of the variables selectable for submitting the trading command;

presenting a second interface in response to receiving the trading command, the second interface comprising at least one button for confirming the trading command being submitted;

automatically repositioning a pointing device pointer over the at least one button in the second interface...

It is respectfully submitted that none of the references cited by the Examiner teach or suggest the above-identified features of amended claim

1. Specifically, Minton, Robertson, Potter, Hawkins, and Harrington fail to teach or suggest a method wherein a trading command is received via a first interface that includes a selectable trading variable, such as a bid variable or an offer variable, displayed therein that when selected results in the

presentation of a second interface screen. Consequently, the references cited by the Examiner further fail to teach or suggest presenting a second interface that includes at least one button therein for confirming the trading command and automatically repositioning a pointer over the at least one button in response to a selection of the selectable trading variable as recited in claim 1. Therefore, Applicants respectfully submit that independent claim 1 is patentable over the references cited by the Examiner.

Independent claim 68 claims similar features as independent claim 1. Accordingly, Applicants submit that claim 86 is patentable over the references cited by the Examiner for the same reason.

B. Independent Claim 19

Independent claim 19 recites, *inter alia*:

A method comprising:

receiving a trading command to trade at least one item via a first interface, the first interface displaying at least one trading variable associated with trading the item comprising at least one of a bid price, a bid size, an offer price, and an offer size, each of the trading variables selectable for submitting the trading command;

presenting a second interface in response to ~~the~~ receiving the trading command, the second interface comprising:

at least one button for confirming the trading command being submitted,

a price field, and
a size field, wherein the bid price is posted in the price field by selecting the bid price in the first interface, the bid size is posted in the size field by selecting the bid size in the first interface, the offer price is posted in the price field by selecting the offer price in the first interface, and the offer size is posted in the size field by selecting the offer size in the first interface; ...

Applicants further submit that none of the references cited by the Examiner teach or suggest the above-identified features of amended claim 19. Specifically, Minton, Robertson, Potter, Hawkins, and Harrington fail to teach or suggest a method wherein a trading command is received via a first interface that includes a selectable trading variable, such as a bid price, a bid size, an offer price, or an offer size, displayed therein that when selected results in the presentation of a second interface screen. The references cited by the Examiner therefore further fail to teach or suggest presenting a second interface that includes at least one button therein for confirming the trading command, a price field, and a size field, where the bid price or offer price is posted in the price field and where the bid size or offer size are posted in the size field in response to selecting the respective bid price, bid size, offer price, or offer size as recited in claim 19. Therefore, Applicants respectfully submit that independent claim 19 is patentable over the references cited by the Examiner.

C. Independent Claim 37

Independent claim 37 recites, *inter alia*:

A method comprising:

- receiving a trading command to trade at least one item via a first interface, the first interface displaying at least one trading variable associated with trading the item;

- presenting a second interface in response to receiving the trading command, the second interface comprising at least one button for confirming the trading command being submitted and a data entry field automatically repositioning a pointing device pointer over the at least one button in the second interface;

- posting the at least one trading variable from the first interface in the data entry field with a selection of the at least one button once; and

submitting the trade command for execution in response to selection of the at least one button again.

Applicants further submit that none of the references cited by the Examiner teach or suggest the above-identified features of amended claim 37. Specifically, Minton, Robertson, Potter, Hawkins, and Harrington fail to teach or suggest a method wherein a trading command is received via a first interface that includes a trading variable displayed therein and wherein a second interface is presented that includes at least one button for confirming the trading command and repositioning a pointer over the at least one button in response to receiving the trading command. The references cited by the Examiner further fail to teach or suggest presenting posting the trading variable displayed in the first interface into the data entry field in response to the selection of the at least one button once and submitting the trade command for execution in response the selection of the at least one button again as recited in claim 37. Therefore, Applicants respectfully submit that independent claim 19 is patentable over the references cited by the Examiner.

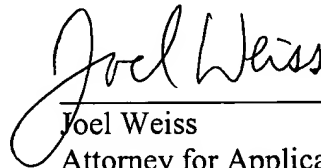
D. The Examiner's rejection

The Examiner rejected independent claim 13 under 35 U.S.C. § 103(a) as being unpatentable over Minton in view Official Notice that it was old and well known to use command line interface for trading at the time of the invention. Applicants have cancelled claim 13 herewith thereby obviating the rejection. However, Applicants submit that these features, *i.e.*, command line interface for trading, were not well-known at the time of the filing of the present application. Applicants reserve the right to traverse the Examiner's taking of official notice and reserve the right to demand that the Examiner produce documentary evidence to support his position at a later time.

IV. Conclusion

For the reasons set forth above, this application is in condition for allowance. Entry of the amendments and a favorable action are respectfully requested.

Respectfully submitted,

A handwritten signature in black ink, reading "Joel Weiss". The signature is written in a cursive style with a large, looping initial "J".

Joel Weiss
Attorney for Applicants
Fish & Neave IP Group
Ropes & Gray LLP
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000